

01-11-00

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Express Mail Label No. EM401141116US

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No. DVS-007 (2516/8)
 First Named Inventor Marcel P.J. Gaudreau
 Title High Power Modulator

JCS62 U.S. PTO

01/10/00

APPLICATION ELEMENTS

ADDRESS TO: Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

1. ☒ No payment is enclosed at this time.

ACCOMPANYING APPLICATION PARTS

2. ☒ Specification and Drawings [Total Pages 33]
 Specification - (19 pages)
 Claims - (5 pages)
 Abstract - (1 page)
 Drawings - (8 sheets)

7. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney

8. ☐ English Translation Document (if applicable)

9. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations (AA and CA)

3. ☒ Oath or Declaration [Total Pages 4]
 a. ☒ Unexecuted
 b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 4 below]

10. ☐ Preliminary Amendment
☐ Drawings [Total Sheets]
☐ Letter to Official Draftsperson Including Drawings [Total Pages]

11. ☒ Return Receipt Postcard

4. ☐ Incorporation by Reference (usable if Box 3b is checked)
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

12. ☒ Unexecuted Small Entity Statement
☐ Statements filed in prior application, (Status still proper and desired)

13. ☐ Certified Copy of Priority Document(s)5. ☐ Microfiche Computer Program (Appendix)

14. ☐ Deletion of Inventor(s)
 Signed statement attached deleting inventor(s) named in the prior application.

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies

15. ☒ Patent Application Data Entry Form16. ☐ Other:

17. ☐ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No. ____/____.
 Priority to the above application(s) is claimed under 35 U.S.C. 120.
 Prior application information: Examiner: _____. Group/Art Unit: _____.

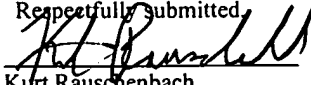
18. ☐ Priority - 35 U.S.C. 119
☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior U.S. application Serial No. ____/____ on _____.
☐ The certified copy will follow.

CORRESPONDENCE ADDRESS

SIGNATURE BLOCK

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

Date: January 10, 2000
 Reg. No. 40,137
 Tel. No.: (617) 248-7435
 Fax No.: (617) 248-7100

Respectfully submitted,

 Kurt Rauschenbach
 Atty/Agent for Applicant(s)
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110

281KR2516/8.915909-1

FEE TRANSMITTAL

Note: Effective January 10, 2000.
Patent fees are subject to annual revision

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	January 10, 2000
First Named Inventor	Marcel P.J. Gaudreau
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	DVS-007 (2516/8)

METHOD OF PAYMENT

1. ☒ No payment is enclosed at this time:
☐ Check ☐ Money Order ☐ Other
2. ☐ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.
- ☐ Required Fees (copy of this sheet enclosed).
- ☐ Additional fee required under 37 CFR 1.16 and 1.17.
- ☐ Overpayment Credit.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
380	190	Extension for reply within second month	
870	435	Extension for reply within third month	
1,360	680	Extension for reply within fourth month	
1,850	925	Extension for reply within fifth month	
300	150	Notice of Appeal	
300	150	Filing a brief in support of an appeal	
260	130	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
760	380	Filing a submission after final rejection (37 CFR 1.129(a))	
760	380	For each additional invention to be examined (37 CFR 1.129(b))	
		Other (Specify)	

FEE CALCULATION**1. FILING FEE****Large Entity**

Fee (\$)	Fee Description	Fee Paid
690	Utility filing fee	690
310	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	34	- 20 = 14	x \$ 18.00 =	\$252
Independent Claims	4	- 3 = 1	x \$ 78.00 =	\$78

☐ Multiple Dependent Claim(s), if any \$260.00 =

TOTAL: 1020.00

SMALL ENTITY DISCOUNT: -510.00

SUBTOTAL (1) (\$) 510.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 78.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$260.00 =	

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)0

SUBTOTAL (3) (\$) 0

SUBTOTAL (1) 510.00

SUBTOTAL (2) 0

SUBTOTAL (3) 0

TOTAL (\$) 510.00

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Respectfully Submitted,

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